



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/562,185	02/01/2007	Pascal J. Gauthereon	10022/802	3864
28164 7590 07/19/2011 ACCENTURE CHICAGO 28164 BRINKS HOFER GILSON & LIONE P O BOX 10395 CHICAGO, IL 60610				
EXAMINER DONLON, RYAN D				
ART UNIT		PAPER NUMBER		
3695				
MAIL DATE		DELIVERY MODE		
07/19/2011		PAPER		

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Examiner-Initiated Interview Summary</b>		<b>Application No.</b> 10/562,185	<b>Applicant(s)</b> GAUTHEREON ET AL.
<b>Examiner</b> RYAN D. DONLON		<b>Art Unit</b> 3695	

  

**All Participants:**

(1) RYAN D. DONLON.

(2) Rickard K. DeMille.

**Date of Interview:** 13 July 2011

**Type of Interview:**

☒ Telephonic

☐ Video Conference

☐ Personal (Copy given to: ☐ Applicant ☐ Applicant's representative)

Exhibit Shown or Demonstrated: ☐ Yes ☒ No

If Yes, provide a brief description:

**Status of Application:** NEW (after RCE)

(3) \_\_\_\_\_

(4) \_\_\_\_\_

**Time:** 2:30PM

  

**Part I.**

Rejection(s) discussed:

*35 U.S.C. §112 1<sup>st</sup> paragraph*

Claims discussed:

*Claim 15, Generically*

Prior art documents discussed:

  

**Part II.**

SUBSTANCE OF INTERVIEW DESCRIBING THE GENERAL NATURE OF WHAT WAS DISCUSSED:

*See Continuation Sheet*

  

**Part III.**

☐ It is not necessary for applicant to provide a separate record of the substance of the interview, since the interview directly resulted in the allowance of the application. The examiner will provide a written summary of the substance of the interview in the Notice of Allowability.

☒ It is not necessary for applicant to provide a separate record of the substance of the interview, since the interview did not result in resolution of all issues. A brief summary by the examiner appears in Part II above.

  

/RYAN D DONLON/  
Examiner, Art Unit 3695

(Applicant/Applicant's Representative Signature – if appropriate)

Continuation of Substance of Interview including description of the general nature of what was discussed: The Examiner called to discuss the written description support for a "funds from a plurality of different investors". There appears to be no support for a single pool of invested funds from a plurality of different investors. Mr. DeMille was not able to comment on the specification at that time and requested an Office Action instead.